

**PATENT COOPERATION TREATY**  
**PCT**  
**INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY**  
(Chapter II of the Patent Cooperation Treaty)  
(PCT Article 36 and Rule 70)

REC'D 30 AUG 2005

PCT

Applicant's or agent's file reference P100444	FOR FURTHER ACTION	See Form PCT/IPEA/416
International application No. PCT/SG2004/000121	International filing date (day/month/year) 6 May 2004	Priority date (day/month/year) 29 September 2003
International Patent Classification (IPC) or national classification and IPC Int. Cl. <sup>7</sup> G06F 17/14		
Applicant AGENCY FOR SCIENCE, TECHNOLOGY AND RESEARCH et al		

1. This report is the international preliminary examination report, established by this International Preliminary Examining Authority under Article 35 and transmitted to the applicant according to Article 36.

2. This REPORT consists of a total of 4 sheets, including this cover sheet.

3. This report is also accompanied by ANNEXES, comprising:

a. ☐ (sent to the applicant and to the International Bureau) a total of sheets, as follows:

☐ sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions).

☐ sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the Supplemental Box.

b. ☐ (sent to the International Bureau only) a total of (indicate type and number of electronic carrier(s)) , containing a sequence listing and/or table related thereto, in computer readable form only, as indicated in the Supplemental Box Relating to Sequence Listing (see Section 802 of the Administrative Instructions).

4. This report contains indications relating to the following items:

<input checked="" type="checkbox"/> Box No. I	Basis of the report
<input type="checkbox"/> Box No. II	Priority
<input type="checkbox"/> Box No. III	Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
<input type="checkbox"/> Box No. IV	Lack of unity of invention
<input checked="" type="checkbox"/> Box No. V	Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
<input type="checkbox"/> Box No. VI	Certain documents cited
<input type="checkbox"/> Box No. VII	Certain defects in the international application
<input checked="" type="checkbox"/> Box No. VIII	Certain observations on the international application

Date of submission of the demand 27 July 2005	Date of completion of the report 17 August 2005
Name and mailing address of the IPEA/AU AUSTRALIAN PATENT OFFICE PO BOX 200, WODEN ACT 2606, AUSTRALIA E-mail address: pct@ipaaustralia.gov.au Facsimile No. (02) 6285 3929	Authorized Officer  BEN TUOHY Telephone No. (02) 6283 7918

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No.

PCT/SG2004/000121

## Box No. I Basis of the report

1. With regard to the language, this report is based on the international application in the language in which it was filed, unless otherwise indicated under this item.

☐ This report is based on translations from the original language into the following language which is the language of a translation furnished for the purposes of:

☐ international search (under Rules 12.3 and 23.1 (b))

☐ publication of the international application (under Rule 12.4)

☐ international preliminary examination (under Rules 55.2 and/or 55.3)

2. With regard to the elements of the international application, this report is based on (*replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report*):

☒ the international application as originally filed/furnished

☐ the description:

pages as originally filed/furnished

pages\* received by this Authority on with the letter of

pages\* received by this Authority on with the letter of

☐ the claims:

pages as originally filed/furnished

pages\* as amended (together with any statement) under Article 19

pages\* received by this Authority on with the letter of

pages\* received by this Authority on with the letter of

☐ the drawings:

pages as originally filed/furnished

pages\* received by this Authority on with the letter of

pages\* received by this Authority on with the letter of

☐ a sequence listing and/or any related table(s) - see Supplemental Box Relating to Sequence Listing.

3. ☐ The amendments have resulted in the cancellation of:

☐ the description, pages

☐ the claims, Nos.

☐ the drawings, sheets/figs

☐ the sequence listing (*specify*):

☐ any table(s) related to the sequence listing (*specify*):

4. ☐ This report has been established as if (some of) the amendments annexed to this report and listed below had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).

☐ the description, pages

☐ the claims, Nos.

☐ the drawings, sheets/figs

☐ the sequence listing (*specify*):

☐ any table(s) related to the sequence listing (*specify*):

\* If item 4 applies, some or all of those sheets may be marked "superseded."

**Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement****1. Statement**

Novelty (N)	Claims 1 – 14	YES
	Claims None	NO
Inventive step (IS)	Claims 1 – 14	YES
	Claims None	NO
Industrial applicability (IA)	Claims 1 – 14	YES
	Claims None	NO

**2. Citations and explanations (Rule 70.7)****Documents cited for the purpose of compiling this report:**

(D1) US 2003/0014136 A1 (WANG et al.) 16 January 2003;

(D2) DARLINGTON D. et al., DIGITAL AUDIO EFFECTS IN THE WAVELET DOMAIN [online], Proc. Of the 5<sup>th</sup> Int. Conference on Digital Audio Effects (DAFX-02), Hamburg, Germany, September 26-28 2002, [Retrieved on 7 June 2004]. Retrieved from The Internet: <URL: [http://www.unibw-hamburg.de/EWEB/ANT/dafx2002/papers/DAFX02\\_Darlington\\_Daudet\\_Sandler\\_wavelet.pdf](http://www.unibw-hamburg.de/EWEB/ANT/dafx2002/papers/DAFX02_Darlington_Daudet_Sandler_wavelet.pdf)>;

(D3) US 2002/0012470 A1 (LUNA et al.) 31 January 2002;

(D4) WO 2000/055757 A1 (THE JOHNS HOPKINS UNIVERSITY) 21 September 2000;

(D5) US 5999656 A (ZANDI et al.) 7 December 1999;

(D6) GB 2325368 A (RICOH CO., LTD.) 18 November 1998; and

(D7) US 5523847 A (FEIG et al.) 4 June 1996.

**NOVELTY (N) and INVENTIVE STEP (IS) claims 1 - 14**

The invention defined in claims 1 - 14 is novel and contains an inventive step in light of documents D1 - D7. The documents cited in the associated International Search Report, documents D1 - D7, do not clearly disclose a method for transforming a digital signal from the time domain into the frequency domain and vice versa using a transformation function comprising a transformation matrix, the digital signal comprising data symbols which are grouped into a plurality of blocks, each block comprising a predefined number of data symbols, the method comprising:

Transforming two blocks of the digital signal by one transforming element, wherein the transforming element corresponds to a block-diagonal matrix comprising two sub-matrices, wherein each sub-matrix comprises the transformation matrix and the transforming element comprises a plurality of lifting stages and wherein each lifting stage comprises the processing of blocks of the digital signal by an auxiliary transformation and by a rounding unit.

**INDUSTRIAL APPLICABILITY (IA)**

The claimed invention finds use in the field of digital signal transformation and clearly meets the requirements of industrial applicability.

**Box No. VIII      Certain observations on the international application**

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

1. Claim 7 is not clear because the term "each sub-block", on page 35 line 20, has not been previously defined within the claim.